

Please type a plus sign (+) inside this box ☐

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 105019-0006

First Inventor or Application Identifier William H. Zebuhr

Title Rotating Fluid Evaporator and Condenser

Express Mail Label No. EL056802630US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility application contents

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ *Specification [Total Pages 27]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) [Total Sheets 6]
4. Oath or Declaration [Total Pages]
- a. ☒ Newly executed (original copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
- DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
☐ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (Identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
37 C.F.R. §3.73(b)
9. ☐ Statement (when there is ☒ Power of Attorney an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ *Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
(PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C. F. R. §1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C. F. R § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: /

Prior application Information: Examiner

Group/Art Unit:

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name Michael R. Reinemann

Address Cesari and McKenna
30 Rowes Wharf

City Boston

State

MA

Zip Code

02110

Name (Print Type) Michael R. Reinemann

Registration No. (Attorney/Agent) 36,280

Signature

Michael R. Reinemann

Date

July 5, 2000

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 430

Complete If Known

Application Number Not yet assigned
Filing Date July 5, 2000
First Named Inventor William H. Zebuhr
Examiner Name Not yet assigned
Group / Art Unit Not yet assigned
Attorney Docket No. 105019-0006

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 03-1237

Deposit Account Name Cesari and McKenna, LLP

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. §§1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	790 201	395	Utility filing fee	345
106	330 206	165	Design filing fee	
107	540 207	270	Plant filing fee	
108	790 208	395	Reissue filing fee	
114	150 214	75	Provisional filing fee	
SUBTOTAL (1) (\$)				345

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
25	20**	5 x 9 = 45	
3	3**	0 x 39 = 0	
Multiple Dependent			0

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	22	203	11	Claims in excess of 20
102	82	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
106	82	206	41	**Reissue independent claims in excess of 3 and over original patent
SUBTOTAL (2) (\$)				45

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130 205	65	Fee Surcharge - late filing fee or oath	
127	50 227	25	Surcharge - late provisional filing fee or cover sheet	
139	130 139	130	Non-English Specification	
147	2,520 147	2,520	For filing a request for reexamination	
112	920 112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840 113	1,840*	Requesting publication of SIR after Examiner action	
115	110 215	55	Extension for reply within first month	
116	400 216	200	Extension for reply within second month	
117	950 217	475	Extension for reply within third month	
118	1,510 218	755	Extension for reply within fourth month	
128	2,060 128	1,030	Extension for reply within fifth month	
119	310 219	155	Notice of Appeal	
120	310 220	155	Filing a brief in support of an appeal	
121	270 221	135	Request for oral hearing	
138	1,510 138	1,510	Petition to institute a public use proceeding	
140	110 240	55	Petition to revive - unavoidable	
141	1,320 241	660	Petition to revive - unintentional	
142	1,320 242	660	Utility Issue fee (or reissue)	
143	450 243	225	Design Issue fee	
144	670 244	335	Plant Issue fee	
122	130 122	130	Petitions to the Commissioner	
123	50 123	50	Petitions related to provisional applications	
126	240 126	240	Submission of Information Disclosure Stmt	
581	40 581	40	Recording each patent assignment per property (times number of properties)	40
146	790 246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790 249	395	For each additional invention to be examined (37 CFR 1.129(b))	

Other (specify)

Other fee (specify)

SUBMITTED BY

Typed or Printed Name

Michael B. Reinemann

Signature

Michael B. Reinemann

Date

July 5, 2000

Complete (if applicable)

Reg. Number

38,280

Deposit Account User ID

EXPRESS-MAIL DEPOSIT

<u>X</u> Patent Application (27 pages including 25 claims)	
<u>X</u> Declaration and Power of Attorney	<u>X</u> Fee Transmittal Letter
<u>X</u> Utility Patent Application Transmittal Letter	<u>X</u> Assignment With Recordation Form
	Cover Sheet
<u>X</u> Formal Drawing (6 sheets)	<u>X</u> Check No. for \$390.00
<u>X</u> Declaration by Small Business Concerning Claiming Small Entity	<u>X</u> Check in the amount of \$40.00